

铜陵有色金属集团股份有限公司

供应链尽责管理申诉机制

为了及时识别和处理公司供应链可能存在的助长冲突或人权侵害等风险，保障内外部利益相关方与本公司的顺畅沟通，铜陵有色金属集团股份有限公司（以下简称“公司”）根据公司《铜供应链尽责管理政策》制定本申诉机制。此外，公司参与中国五矿化工进出口商会的《采矿业和矿产价值链调解磋商机制》，以期有效地解决争议问题，加强各方的沟通、协调与合作，传递各方的诉求和期望，构建负责任、有韧性和可持续的矿产供应链。

1. 可以对哪些问题提起申诉？

符合以下条件的申诉将会被受理：

- 1) 与公司矿产供应链尽责管理相关。
- 2) 公司商业活动或者业务关系对利益相关方已经造成或可能造成了负面影响。
- 3) 公司商业活动或者业务关系违反了《经济合作与发展组织关于来自受冲突影响和高风险区域的矿石的负责任供应链尽职调查指南》、《中国矿产供应链尽责管理指南》或公司《铜供应链尽责管理政策》中的要求。

属于以下任意一项的申诉不会被受理：

- 1) 与公司尽责管理无关。
- 2) 无法提供充分证据或证人以支持指出的问题。
- 3) 恶意申诉或为获得竞争优势而发起的申诉。
- 4) 如果申诉的问题不属于公司内部机制所能够解决的范畴，我们将积极协调外部机构解决。

2. 谁可以提起申诉？

任何个人或团体，包括但不限于公司客户、供应商、受影响的社区居民、员工等，均可向公司供应链尽责管理联合办公室提起申诉。

如果申诉由代表受影响方的第三方团体或个人提出，该机构或个人应明确说明其所代表的对象，并提供具备代表权的明确证据。

3. 如何提起申诉?

申诉者应填写附件一所示《供应链尽责管理申诉书》，语种不限。申诉书应通过电子邮件或邮寄至公司供应链尽责管理联合办公室。

邮箱: TNMG_LME@163.com

地址: <http://wmw.tlys.cn/>

申诉者亦可通过中国五矿化工进出口商会的《采矿业和矿产价值链调解磋商机制》¹进行申诉。

附件一 《供应链尽责管理申诉书》

铜陵有色金属集团股份有限公司

2024年5月15日



¹ 中国五矿化工进出口商会的《采矿业和矿产价值链调解磋商机制》，可参考：<https://www.cccmc.org.cn/kcxfzz/zyzx/al/>

附件：

供应链尽责管理申诉书

申诉者名称（可匿名）		是否要求保密	
联系电话		电子邮箱	
是否为受委托的第三方		如是，受何人或何团体委托（同时附受委托证明）	
冲突回避	（如需要回避公司负责任采购供应链部门委员会、尽责管理联合办公室的特定人员，请列出其姓名）		
所遭受（或可能遭受）负面影响的事实和证据，以及其他任何能够支持申诉的信息（可附页，并附申诉证据）：			
您的诉求，以及实现诉求所希望采用的解决方案（明确且可操作的诉求能够帮助我们更快的帮您解决问题）			
申诉者代表签名：			
申诉日期：			

Tongling Nonferrous Metals Group Co., Ltd.

Supply Chain Due Diligence Grievance Mechanism

Tongling Nonferrous Metals Group Co., Ltd. (Tongling) has developed this grievance mechanism based on its *Copper Supply Chain Due Diligence Policy* to promptly identify and address possible risks contributing to conflict or human rights abuses in their supply chain and to ensure smooth communication with internal and external stakeholders. Additionally, Tongling participates in the *Mediation and Consultation Mechanism for the Mining Industry and Mineral Value Chain* of the China Chamber of Commerce for Metals, Minerals & Chemicals Importers & Exporters (CCCMC) to effectively resolve disputes, strengthen communication, coordination, and cooperation among all parties, convey the demands and expectations of all parties, and build a responsible, resilient, and sustainable mineral supply chain.

1. What issues can be accepted?

Grievance that meet the following criteria will be accepted:

- 1) related to Tongling's *Copper supply chain due diligence*;
- 2) business activities or business relationships have caused or may cause negative impacts;
- 3) business activities or business relationships violate the requirements of *The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas*, *Chinese Due Diligence Guidelines for Minerals Supply Chain* or its *Mineral Supply Chain Due Diligence Policy*.

Grievance will NOT be accepted under any of the following:

- 1) not related to the Tongling's supply chain due diligence ;
- 2) unable to provide sufficient evidence or witnesses to support the issues;
- 3) vicious grievance or to gain competitive advantages;
- 4) if the grievance do not fall within our internal mechanisms' scope, we will actively coordinate with an external organization to resolve it.

2. Who can file a grievance?

Any individual or group, including but not limited to our customers, suppliers, affected community residents, employees, etc., may file a grievance with the Joint Office of Supply Chain Due Diligence.

Suppose a third-party group or individual files grievance representing affected parties, they should clearly state who they represent and provide clear evidence of their authority.

3. How to file a grievance?

The complainant should complete the *Supply Chain Due Diligence Grievance Form* shown in Annex I in any language and send it to the Joint Office of Supply Chain Due Diligence by e-mail or post.

Email: TNMG_LME@163.com

Address: <http://wmw.tlys.cn/>

Grievance can also be filed through the ‘Mediation and Consultation Mechanism for the Mining Industry and Mineral Value Chain’ of CCCMC².

Annex I - Supply Chain Due Diligence Grievance Form

Tongling Nonferrous Metals Group Co., Ltd.

15 May 2024



² Note: CCCMC- Mediation and Consultation Mechanism for the Mining Industry and Mineral Value Chain, please refer to <https://www.cccmc.org.cn/kcxfzx/zyzx/al/>

Annex I

Supply Chain Due Diligence Grievance Form

Name (or anonymity)		Confidentiality	
Contact No.		Email	
If you are third-party or not?		If yes, who you are representing for (authorization letter needed)	
Recuse	If you need to recuse someone from the Tongling's Responsible Sourcing Due Diligence Committee or Joint Office, please list their names:		
Facts and evidence of negative impacts have caused (or may cause) and any other information that would support the complaint: (proof of the complaint needs to be attached)			
Your request and the solution you prefer: (a clear and actionable request will help us to solve your problem faster)			
Signature: Date:			